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Case No. 20350
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**ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231**

Sir:
Transmitted herewith for filing under 37 CFR 1.53(b) is the
☒ patent application of
☐ continuation patent application of
☐ divisional patent application of
☐ continuation-in-part patent application of

Inventors: RUDOLF MAURER, JOACHIM STUDLEK

For: STATIC MIXER WITH PRECISION CAST ELEMENTS

☒ This application claims priority from each of the following Application Nos./filing dates:
European appl. No. 99811032.4, filed November 10, 1999
the disclosure(s) of which is (are) incorporated by reference.
☐ Please amend this application by adding the following before the first sentence: "This application is a ☐ continuation ☐ continuation-in-part of and claims the benefit of U.S. Provisional Application No. 60/_____, filed _____, the disclosure of which is incorporated by reference."

Enclosed are:

- ☒ 8 page(s) of specification
- ☒ 3 page(s) of claims
- ☒ 1 page of Abstract
- ☒ 3 sheet(s) of ☒ formal ☐ informal drawing(s).
- ☒ An assignment of the invention to Sulzer Chemtech AG
- ☒ A ☒ signed ☐ unsigned Declaration & Power of Attorney
- ☒ A ☐ signed ☐ unsigned Declaration.
- ☒ A Power of Attorney by Assignee with Certificate Under 37 CFR Section 3.73(b).
- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 ☐ is enclosed ☐ was filed in the prior application and small entity status is still proper and desired.
- ☒ A certified copy of a European priority application.
- ☒ Information Disclosure Statement under 37 CFR 1.97.
- ☒ A petition to extend time to respond in the parent application.
- ☒ Notification of change of ☐ power of attorney ☐ correspondence address filed in prior application.
- ☒ Preliminary Amendment

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	10 - 20	= *0
INDEP. CLAIMS	1 - 3	= *0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

* If the difference in Col. 1 is less than 0, enter "0" in Col. 2.


SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
RATE	FEE		RATE	FEE
x \$9.00 =	\$355.00	OR	x \$18.00 =	\$0.00
x \$40.00 =		OR	x \$80.00 =	\$0.00
+ \$135.00 =		OR	+ \$270.00 =	
TOTAL		OR	TOTAL	\$710.00

Please charge Deposit Account No. 20-1430 as follows:

- ☒ Filing fee \$ \$710.00
- ☒ Any additional fees associated with this paper or during the pendency of this application.
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b)

☐ A check for \$_____ is enclosed.
2 extra copies of this sheet are enclosed.

Respectfully submitted,
TOWNSEND and TOWNSEND and CREW LLP


J. Georg Seta
Reg No.: 24,491
Attorneys for Applicant

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

RUDOLF MAURER, et al.

Application No.: Not yet assigned

Filed: Herewith

For: STATIC MIXER WITH
PRECISION CAST ELEMENTS

PRELIMINARY AMENDMENT

San Francisco, CA 94111
October 18, 2000

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination of the above-referenced application, please enter the following amendments and remarks.

IN THE CLAIMS:

Claim 4, line 1, please delete "any one of the claims 1 to 3" and substitute therefor --claim 1--.

Claim 5, lines 1-2, delete "any one of the claims 1 to 4" and substitute therefor --claim 1--.

Claim 7, line 1, delete "or claim 6".

Claim 9, lines 1-2, delete "any one of the claims 1 to 4" and substitute therefor --claim 1--.

Claim 10, lines 1-2, delete "any one of the claims 1 to 4" and substitute therefor --claim 1--.

IN THE ABSTRACT:

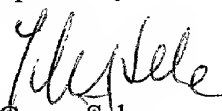
Following the Abstract of disclosure, delete "(Figs. 1 and 2)".

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REMARKS

Amendment is made to delete a minor informality in the Abstract and to eliminate all multiple dependencies from the claims, thereby avoiding the need to pay the multiple dependent surcharge.

Respectfully submitted,


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Static mixer with precision cast elements

The invention relates to a static mixer with precision cast elements in accordance with the preamble of claim 1, to a cast element for a mixer of this kind, and to a method for manufacturing the mixer as well as to uses.

A static mixer by means of which highly viscous polymer melts can be homogenized is known from EP-A 0 646 408. This mixer can be used as a mixer head in the nozzle of an injection molding machine or as a melt mixer following the helix of an extruder. It is assembled from cast elements and intermediate elements and has a cylindrical shape. The cast elements contain structures, for example grid structures, which effect a mixing process in a through-flowing melt. The structures which are shown in EP-A 0 646 408 are built up of layers which contain passages and which are oriented parallel to a central axis. In a preferred embodiment the intermediate elements together with parts of the cast elements, namely with flange-like reinforcing rings, form a tubular jacket, within which a plurality of mixer structures are arranged one behind the other. In adjacent mixer structures the layers are in each case displaced with respect to one another by a predetermined angle, preferably by 90°. In order that this displacement is reliably produced in the assembly of the static mixer, cams are molded on the reinforcing rings and cut-outs are provided at the intermediate elements in a manner which is complementary to the cams. In a correct assembly the

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cams fit into the corresponding cut-outs and thus provide for a predetermined orientation of the elements.

The cast elements can be manufactured by means of precision casting to within tolerances of 0.1 mm. For use in injection molding machines this tolerance is insufficient. Because of the sealing, a total length of the static mixer which is to be strictly observed is required in machines of this kind.

The object of the invention is to further develop the static mixer of the named embodiment in such a manner that a predetermined total length of the mixer, such as for example is required in injection molding machines, can be manufactured precisely. This object is satisfied by the static mixer which is characterized in claim 1.

The static mixer contains precision cast elements which are arranged along a central axis and which in each case have a reinforcement region at their circumference which extends over the entire circumference.

These cast elements are assembled with intermediate elements to form a cylindrical body. Joint locations between the elements form surfaces which stand transversely to the central axis. For each isolated cast element, in a rotation about its central axis, the joint locations are accessible to machining tools for subsequent machining — in particular for grinding or turning. As a result of the subsequent machining a predetermined total length of the mixer in the direction of the central axis can be precisely achieved.

In the known cast elements a required subsequent machining is made

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impossible due to the cams at the reinforcing rings. In the intermediate elements a subsequent machining is not required since the latter can be brought into a predetermined shape from a tube by a cutting method, with it being possible to produce the required precision.

Subordinate claims 2 to 4 relate to advantageous embodiments of the mixer in accordance with the invention. The cast element of this mixer is the respective subject of claims 5 to 8. Claim 9 relates to a method for manufacturing the mixer in accordance with the invention and claim 10 relates to uses of the mixer.

The invention will be explained in the following with reference to the drawings. Shown are:

- Fig. 1 a first embodiment of the cast element in accordance with the invention having a reinforcing ring,
- Fig. 2 an end of an intermediate element fitting with the cast element of Fig. 1,
- Fig. 3 a developed projection of the circumference of said reinforcing ring and a corresponding developed projection of the intermediate element,
- Fig. 4 an oblique view which illustrates a part of a mixer in accordance with the invention,
- Fig. 5 a longitudinal section through a nozzle which contains
- a mixer head,

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Fig. 6 a perspective illustration of the end region of the first embodiment of the mixer in accordance with the invention,

Figs. 7, 8 developed projections as in Fig. 3 of a second and of a third embodiment, respectively, and

Figs. 9, 10 developed projections of the reinforcing rings of two further embodiments.

A cast element 1 in accordance with Fig. 1 can be manufactured through a precision casting in which a casting mold is formed by means of a wax body, the application of a ceramic jacket onto the wax body, subsequent removal of the wax and a firing of the ceramic jacket. By this casting method, a tolerance of about 0.1 mm results for the attainable accuracy of fit. Usually, the cast element 1 is cast from a metallic alloy. With other casting methods casting elements 1 can also be manufactured of ceramic material or plastic. A gridwork 3 — namely the mixer structure 3 — and a reinforcing ring 4 form the cast element 1 in the form of a monolithic body. The gridwork 3 is assembled from webs 31 which are arranged in layers 32. The layers 32 are oriented parallel to a central axis 10. The webs 31 of adjacent layers 32 cross one another and enclose a uniform angle of 45° with respect to the direction of the central axis 10. This angle can also have a value between 10° and 70° . The flange-like ring 4 is a reinforcement region which extends over the entire circumference of the cast element 1.

The static mixer is assembled from a plurality of cast elements 1 and intermediate elements 2, see Fig. 2, which are arranged along the central axis 10 and which thus form a cylindrical body. The mixer structures 3 are formed in such a manner that in the assembled mixer the ends 30a and 30b of adjacent cast elements 1 do not make contact. The elements 1 and 2 are in contact at joint locations which are formed by ring-shaped surfaces 40a, 40b of the reinforcing ring 4 and ring-shaped surfaces 20a, 20b (see Fig. 3) of the intermediate elements 2. These surfaces 40a, 40b and 20a, 20b form the only joint locations. In Fig. 3 a developed projection of the circumference of the reinforcing ring 4 and a corresponding developed projection of the intermediate element 2 are illustrated. Arrows 420 indicate how the ring 4 can be placed onto the intermediate element 2. The lateral lines 400 and 400' and, respectively, 200 and 200' are intersection lines, at which in each case the circumference is enclosed (angles 0° and 360°).

The reinforcing ring 4 has at the surface 40a segment-like cut-outs 41, 41' and at the surface 40b similarly shaped cut-outs 42, 42' which are complementary to projections 21, 21' and 22, 22' respectively of the intermediate element 2. The cut-outs 41, 41', 42, 42' form two pairs, wherein the cut-outs of the pairs 41, 41' and, respectively, 42, 42' in each case are arranged diametrically opposite to one another and wherein the two pairs are offset with respect to one another by 90° . The corresponding projections 21, 21', 22, 22' of the intermediate element 2 are arranged in such a manner that in each case two of the projections 21 and 22 and, respectively, 21' and 22' are aligned one after the other in the direction of the central axis 10. Through these arrangements an

offset of the grid structures 3 between two adjacent cast elements 1 by 90° results.

The oblique view of Fig. 4 shows a part of a mixer in accordance with the invention. The mixer structure 3 is indicated by two mutually crossing diameters. The cast elements 1 and intermediate elements 2 can be held together by a longitudinally slit cylinder 5 (slit 50) of a resiliently elastic sheet metal lamina.

The joint locations between the elements 1 and 2 are transverse to the central axis 10. The joint locations which are given by surfaces 40a, 40b of the cast element 1 are accessible to machining tools for a subsequent machining when the isolated cast element is rotated about its central axis 10. It can be subsequently machined by grinding or turning. As a result of the subsequent machining a predetermined total length L of the mixer in the direction of the central axis 10 can be precisely achieved, so that the mixer for example fits exactly into a nozzle 6, as illustrated in Fig. 5. The mixer is inserted sealingly in a cavity 60 of a nozzle capsule 61 while being pressed by a component 62.

At its ends the mixer has suitably modified end pieces 2' instead of intermediate elements 2. Fig. 6 illustrates in a perspective illustration the mixer end region with the end piece 2'.

Further possibilities for realizing the mixer in accordance with the invention are conceivable in addition to the above described first embodiment. Some of the above parts of the intermediate elements 2 can be separate parts which are fitted into cut-outs of the intermediate

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element 2. One example is shown in Fig. 7. Cut-outs 43 and 44 in the reinforcing ring 4 are formed as circular blind holes. Corresponding projections 23 of the intermediate element 2 are cylindrical bolts 23, which are fitted into cut-outs. Furthermore, only one bolt 23 with corresponding cut-outs 25 and 44 or 43 can be provided in each case per joint location.

In the exemplary embodiment shown in Fig. 8 the reinforcement region 4 is a ring which is extended by two webs 45 which cross the ring 4. The reinforcement region 4 has all over the same thickness, which is also provided for two wall pieces 26 of the intermediate element 2. These wall pieces 26 fill the gaps between the webs 45, with a certain clearance being provided. At the same time they form a toothing between adjacent cast elements 1. The wall pieces 26 are connected to one another via a sheet metal lamina ring 27 and thus form the intermediate element 2. The positions of the two ends 30a and 30b of the mixer structure 3 are indicated by the chain-dotted lines 30a' and 30b'. In the assembled mixer the surfaces 40a' and 40b' form a joint location between adjacent cast elements 1. There are no length-determining joint locations between the cast elements 1 and the intermediate elements 2. In this exemplary embodiment the angular displacement of adjacent mixer structures 3 is not produced by the reinforcement 4. Therefore, two different cast elements 1 must be provided which differ by a different orientation of the mixer structures 3 relative to the arrangement of the webs 45.

In the exemplary embodiment in accordance with Fig. 9 the

intermediate element 2 (not illustrated) is formed similarly as in the first exemplary embodiment. Joint locations are again formed by surfaces 40a' and 40b' of the reinforcement region 4 and corresponding surfaces of the intermediate element 2.

In the exemplary embodiment of Fig. 10 the reinforcement region 4 is again formed in the shape of a ring, and cut-outs are formed by trapezoidal grooves 48.

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Patent claims:

1. Static mixer comprising precision cast elements (1) which are arranged along a central axis (10) and which in each case have a reinforcement region (4) at their circumference which extends over the entire circumference, wherein these cast elements (1) are assembled with intermediate elements (2) to form a cylindrical body, and wherein joint locations between the elements (1, 2) form surfaces (40a, 40b, 20a, 20b) which stand transversely to the central axis, characterized in that
for each isolated cast element, in a rotation about its central axis the joint locations are accessible to machining tools for a subsequent machining — in particular for a grinding or turning; and in that as a result of the subsequent machining a predetermined total length (L) of the mixer in the direction of the central axis is precisely achieved.
2. Static mixer in accordance with claim 1, characterized in that the reinforcement regions (4) of the cast elements (1) are in each case ring-shaped and each of these rings has cut-outs (41, 41', 42, 42') which are complementary to projecting parts (21, 21', 22, 22'; 23) of the intermediate elements (2).
3. Static mixer in accordance with claim 2, characterized in that at least some of the projecting parts (23) of the intermediate elements (2) are separate parts which are fitted in into cut-outs (25) of the intermediate elements.

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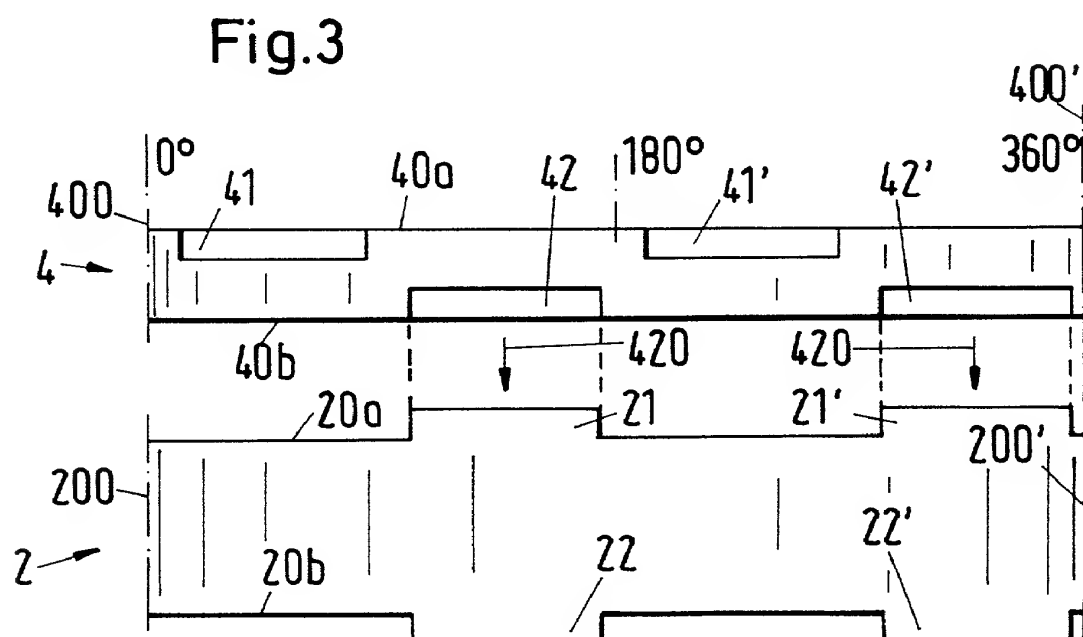
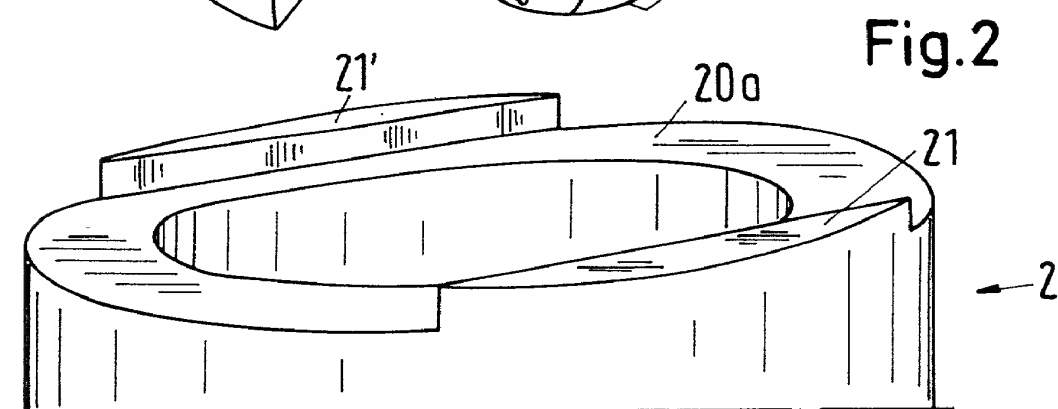
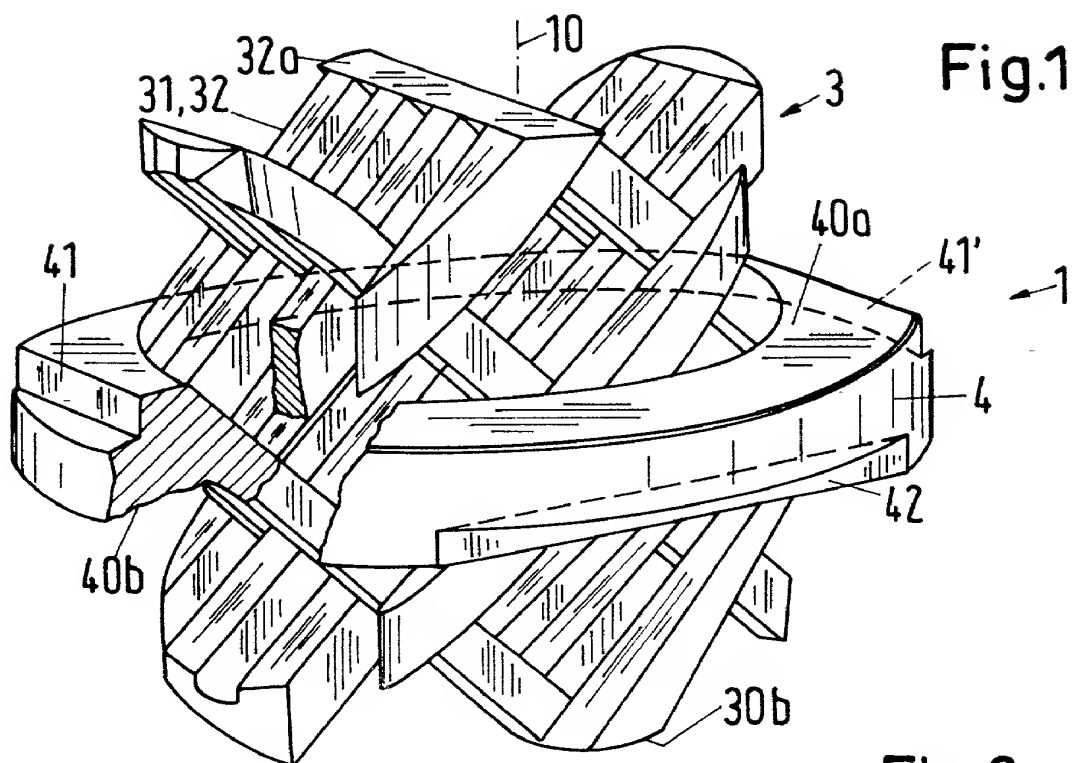
4. Static mixer in accordance with any one of the claims 1 to 3, characterized in that all elements (1, 2, 2') are held together by a longitudinally slit cylinder (5) of a resiliently elastic sheet metal lamina.
5. Cast element for a static mixer in accordance with any one of the claims 1 to 4, characterized in that it is manufactured by a precision casting method or an injection molding method and comprises a gridwork (3) of webs (31) which are arranged in layers, with the layers being oriented parallel to the central axis (10), and with the webs of adjacent layers crossing one another and enclosing angles between 10 and 70°, preferably a uniform angle of about 45°, with respect to the direction of the central axis.
6. Cast element in accordance with claim 5, characterized in that it is manufactured of a metallic alloy, of ceramic material or of plastic.
7. Cast element in accordance with claim 5 or claim 6, characterized in that the gridwork (3) of the webs (31) is reinforced by a flange-like, co-cast ring (4) which has two ring-shaped surfaces (40a, 40b) which lie transversely to the central axis (10) and which are provided as joint locations to intermediate elements (2).
8. Cast element in accordance claim 7, characterized in that two pairs of segment-like cut-outs (41, 41', 42, 42') are provided at the outer edge of the ring (4), with the cut-outs of a pair in each case

being arranged diametrically with respect to one another and with the two pairs being displaced by 90° with respect to one another.

9. Method for manufacturing a static mixer in accordance with any one of the claims 1 to 4, characterized in that cast elements (1) are manufactured by a precision casting or injection molding method; in that intermediate elements (2) from a tube are brought into a predetermined shape through cutting; and in that joint locations (40a, 40b) of the cast elements are subsequently machined in such a manner that the mixer which is assembled from the elements (1, 2) precisely assumes a predetermined total length (L).
10. Use of a static mixer in accordance with any one of the claims 1 to 4 in injection molding machines or extrusion plants, in particular in the nozzle (6) of an injection molding machine, in a hot passage or distributor system of an injection die, in a distributor system ahead of tools of a blow-molding plant or following the helix of an extruder.

The static mixer contains precision cast elements (1) which are arranged along a central axis (10) and which in each case have a reinforcement region (4) at their circumference which extends over the entire circumference. These cast elements (1) are assembled with intermediate elements (2) to form a cylindrical body. Joint locations between the elements (1, 2) form surfaces (40a, 40b, 20a, 20b) which stand transversely to the central axis. For each isolated cast element, in a rotation about its central axis the joint locations are accessible to machining tools for a subsequent machining — in particular for a grinding or turning. As a result of the subsequent machining a predetermined total length (L) of the mixer in the direction of the central axis can be precisely achieved.

(Figs. 1 and 2)



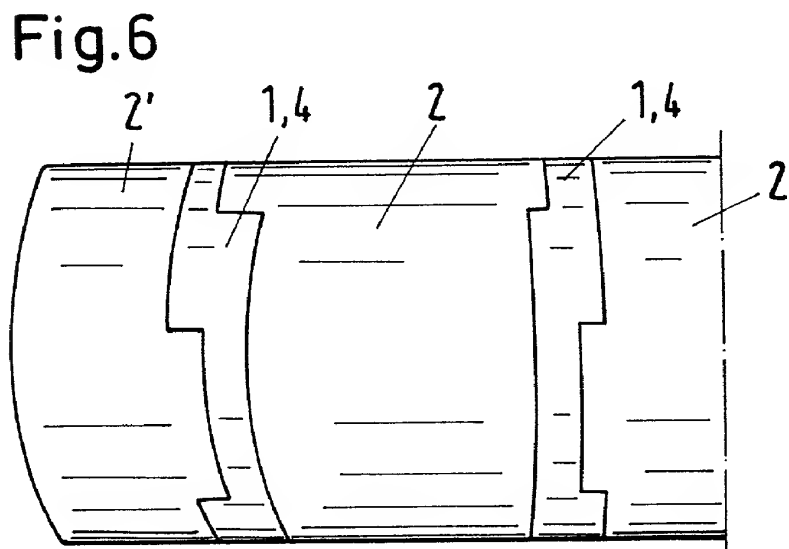
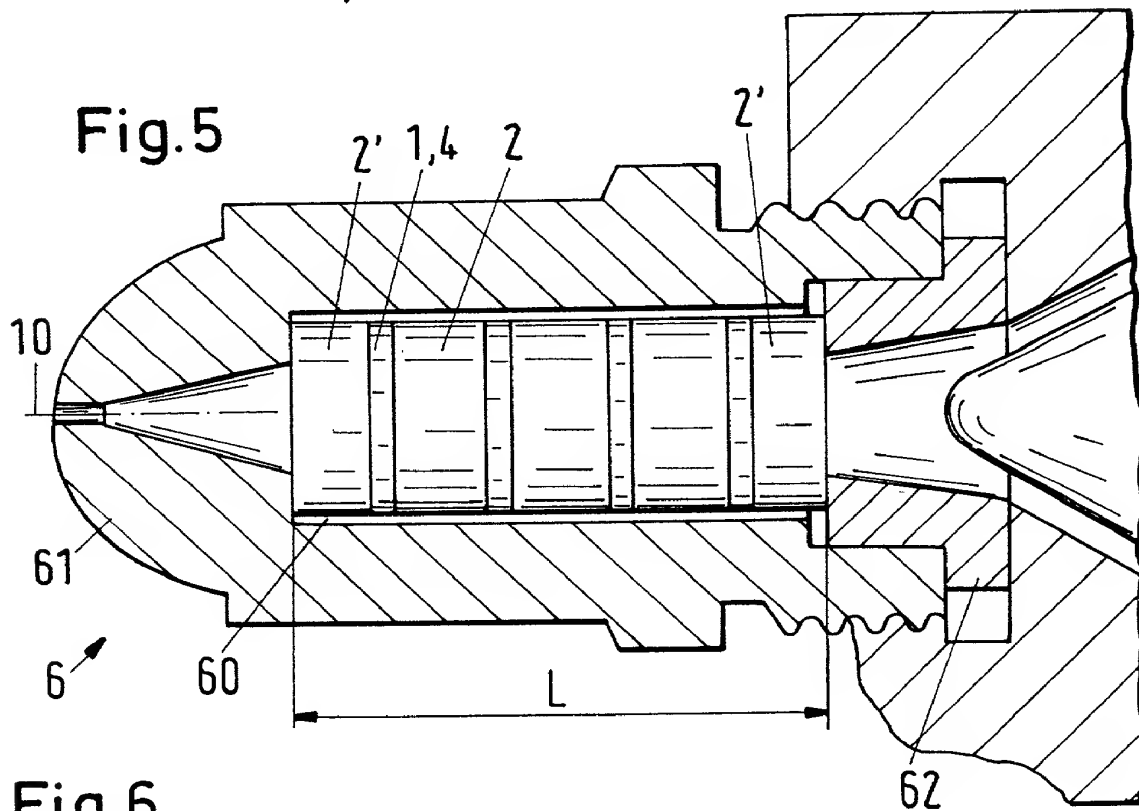
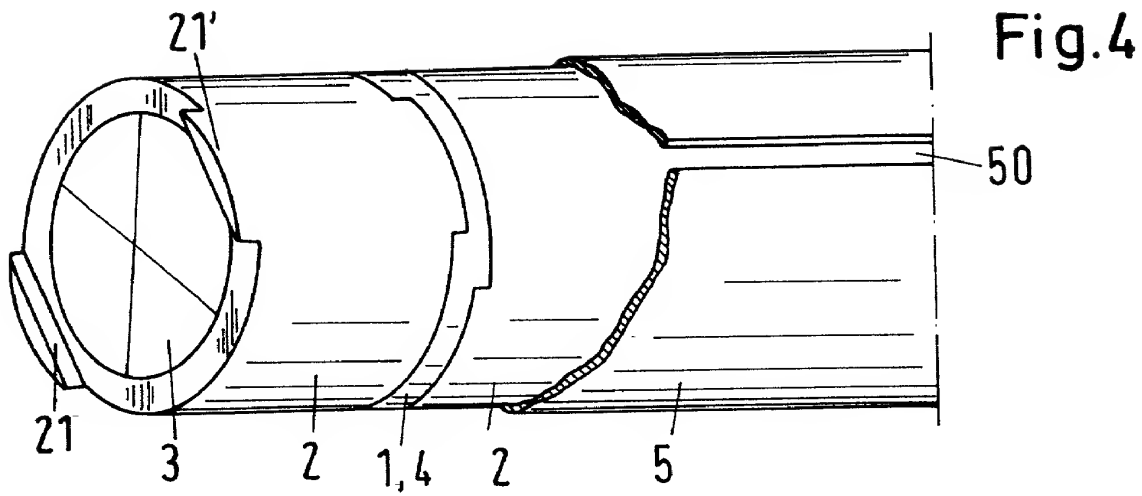


Fig.7

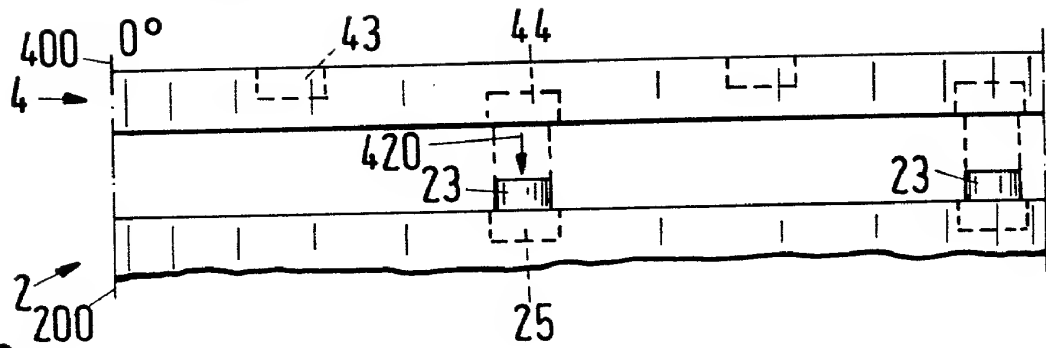


Fig.8

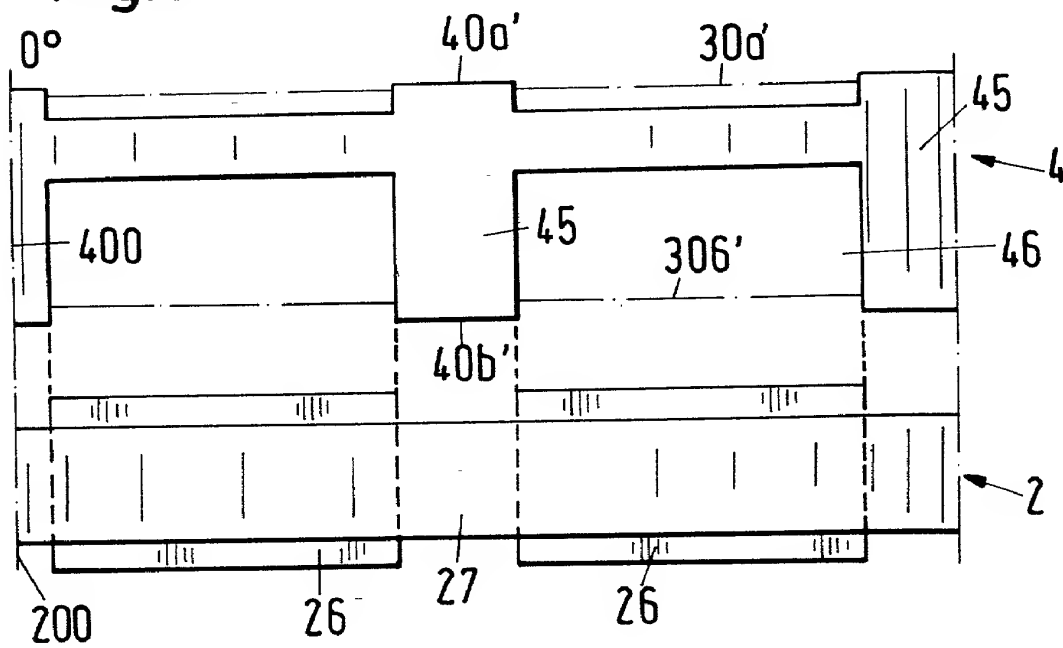


Fig.9

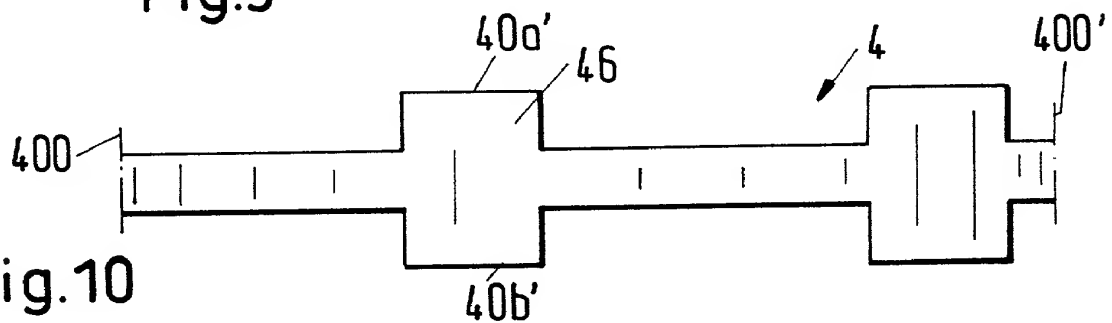
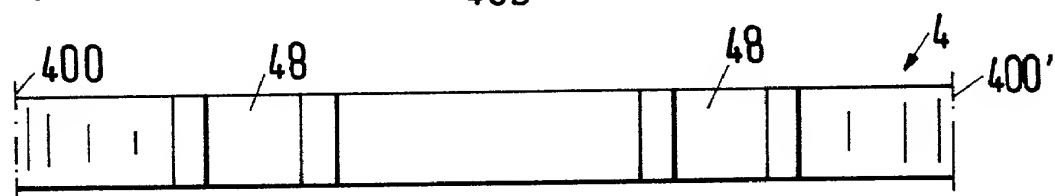


Fig.10



DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Static mixer with precision cast elements

the specification of which _____ is attached hereto or _____ was filed on _____ as Application No. _____ and was amended on _____ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign applications(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119
Europe	99 811 032.4	November 10, 1999	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
			Yes <input type="checkbox"/> No <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned



POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

J. Georg Seka, Reg. No. 24,491
James F. Hann, Reg. No. 29,719
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~~John T. Raffle, Reg. No. 38,585~~
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Send Correspondence to: J. Georg Seka TOWNSEND and TOWNSEND and CREW Two Embarcadero Center Eighth Floor San Francisco, CA 94111-3834	Direct Telephone Calls to: (Name, Reg. No., Telephone No.) Name: J. Georg Seka Reg. No. 24,491, Telephone: 415-576-0200
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Full Name of Inventor 1	Last Name MAURER	First Name Rudolf	Middle Name or Initial	
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Residence & Citizenship	City Asslar	State/Foreign Country Germany	Country of Citizenship Germany	
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Full Name of Inventor 3	Last Name	First Name	Middle Name or Initial	
Residence & Citizenship	City	State/Foreign Country	Country of Citizenship	
Post Office Address	Post Office Address	City	State/Country	Zip Code

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1  Rudolf Maurer	Signature of Inventor 2  Joachim Studlek	Signature of Inventor 3
Date 4.8.00	Date 3.8.2000	Date